

03-01-02

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Attorney Matter No.: 0073512
Date: February 28, 2002
Express Mail Label No.: EF360643822US

BOX PATENT APPLICATION

Assistant Commissioner for Patents
Washington, D.C. 20231

CONTINUING APPLICATION TRANSMITTAL
RULE 1.53(b)

Sir:

Transmitted herewith for filing under 37 C.F.R. §1.53(b) is a

☐ Continuation ☐ Divisional ☒ Continuation-in-Part

application of pending Application No. 09/993,132, filed November 14, 2001.

For (Title): SYSTEMS AND METHODS FOR OBTAINING DIGITAL SIGNATURES ON A SINGLE AUTHORITATIVE COPY OF AN ORIGINAL ELECTRONIC RECORD

By (Inventors): Scott G. Ainsworth, Charles F. Hawkins, and Donald J. Plaster

- ☒ A Specification (40 total pages) is attached.
☒ Formal drawings (Figs. 8; 8 sheets) are attached.
☒ A Declaration and Power of Attorney is filed herewith.
☒ An assignment of the invention to Compass Technology Management, Inc. is filed herewith (with accompanying cover sheet and Check No. 163001 in the amount of \$40.00 to cover the assignment recordation fee).
☒ An Information Disclosure Statement is filed herewith.
☐ A statement to establish small entity status under 37 C.F.R. §§1.9 and 1.27 is filed herewith.
☒ A Preliminary Amendment is filed herewith.
☒ A Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i) is filed herewith.
☒ A Return Receipt Postcard is attached.
☒ The filing fee is calculated below:

CLAIMS IN THE APPLICATION AFTER ENTRY OF
ANY PRELIMINARY AMENDMENT NOTED ABOVE

| FOR: | NO. FILED | NO. EXTRA |
|--|-----------|-----------|
| BASIC FEE | | |
| TOTAL CLAIMS | 40 - 20 | = 20* |
| INDEP CLAIMS | 12 - 3 | = 9* |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS PRESENTED | | |

* If the difference is less than zero, enter "0".

SMALL ENTITY

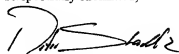
| RATE | FEE |
|--------|--------|
| | \$ 370 |
| x 9 = | \$ 180 |
| x 42 = | \$ 378 |
| +140 = | \$ |
| TOTAL | \$ 928 |

OTHER THAN A
SMALL ENTITY

| RATE | FEE |
|-------|--------|
| | \$ 740 |
| x 18 | \$ |
| x 84 | \$ |
| +280 | \$ |
| TOTAL | \$ |

- ☒ Check No. 163000 in the amount of \$928.00 to cover the filing fee is attached. Except as otherwise noted herein, the Commissioner is hereby authorized to charge any other fees that may be required to complete this filing, or to credit any overpayment, to Deposit Account No. 11-0220. Two duplicate copies of this sheet are attached.
☒ This application is entitled to small entity status. DO NOT charge large entity fees to our Deposit Account.

Respectfully submitted,



Peter A. Shaddock II
Registration No. 44,331